

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2651



Applicant: Onozawa et al.
 Serial No.: 09/867,498
 Filing Date: May 30, 2001
 Name of Inventor: N/A
 Filing Date: N/A

Examiner: Unknown
 Group Art Unit: 2651
 Docket: 10873.736US01
 Batch No.: N/A

Due Date: August 30, 2001

Title: OPTICAL PICK-UP AND INFORMATION RECORDING AND REPRODUCING APPARATUS

RECEIVED
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 Technology Center 2600

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the paper(s), as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: , Commissioner for Patents, Washington, D.C. 20231, on August 22, 2001.

By:

Jennifer Carlson
 Jennifer Carlson

Commissioner for Patents
 Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Information Disclosure Statement, Form 1449, 4 Reference(s)
- ☒ Other: Preliminary Amendment, Marked-up copy of specification, Verification of English translation
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
 P.O. Box 2903, Minneapolis, MN 55402-0903
 612.332.5300

By:

Douglas P. Mueller
 Name: Douglas P. Mueller
 Reg. No.: 30,300
 DPMueller/jlc



S/N 09/867,498

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PATENT

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By:

Jennifer Carlson

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

A concise explanation of the relevance of each non-English language document or other information is as follows (37 C.F.R. §1.98(a)(3)):

A partial English translation has been provided for "A Laser Coupler for DVD/CD Playback by Using Monolithic Integrated 2-wavelength LDs".

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or



otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

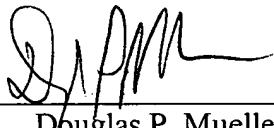


Dated: August 22, 2001

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Respectfully submitted,

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By 
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